

P1 1164839

REC'D 07 MAY 2004

PCT

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

May 04, 2004

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/449,209

FILING DATE: February 21, 2003

RELATED PCT APPLICATION NUMBER: PCT/US04/04647

By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS



N. Williams
N. WILLIAMS
Certifying Officer

**PRIORITY
DOCUMENT**

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)


02-24-03 02/21/03

Please type a plus sign (+) inside this box ☐

PTO/SB/16 (8-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PROVISIONAL APPLICATION FOR PATENT COVER SHEET
This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

| INVENTOR(S) | | | | |
|--|---|---------------------------|--|---|
| Given Name (first and middle [if any]) | | Family Name or Surname | | Residence (City and either State or Foreign Country) |
| (1) Jihuai (2) Yoshihiro (3) Masayuki | | Lu Kashiwagi Kozuka | | Los Angeles, California Arcadia, California Arcadia, California |
| <input type="checkbox"/> Additional inventors are being named on the _____ separately numbered sheets attached hereto | | | | |
| TITLE OF THE INVENTION (280 characters max) Video Decoder Architecture Employing Loop Filter for HD Video Coding Efficiency Improvement | | | | |
| Direct all correspondence to: CORRESPONDENCE ADDRESS | | | | |
| <input checked="" type="checkbox"/> Customer Number | | 21611 | | Place Customer Number Bar Code Label here |
| OR | | Type Customer Number here | | |
| <input type="checkbox"/> Firm or Individual Name | | | | |
| Address | | | | |
| Address | | | | |
| City | | State | | ZIP |
| Country | | Telephone | | Fax |
| ENCLOSED APPLICATION PARTS (check all that apply) | | | | |
| <input checked="" type="checkbox"/> Specification | Number of Pages | 1 | <input type="checkbox"/> CD(s), Number | |
| <input checked="" type="checkbox"/> Drawing(s) | Number of Sheets | 1 | <input type="checkbox"/> Other (specify) | |
| <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | | | |
| METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one) | | | | |
| <input type="checkbox"/> | A check or money order is enclosed to cover the filing fees | | | FILING FEE AMOUNT (\$) |
| <input checked="" type="checkbox"/> | The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number | | | 19-2814 |
| <input type="checkbox"/> | Payment by credit card. Form PTO-2038 is attached. | | | \$160.00 |
| The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government. | | | | |
| <input checked="" type="checkbox"/> | No. | | | |
| <input type="checkbox"/> | Yes, the name of the U.S. Government agency and the Government contract number are: _____ | | | |


Respectfully submitted,
SIGNATURE 
TYPED or PRINTED NAME Joseph W. Price
TELEPHONE (949) 253-4920

Date 02/21/03
REGISTRATION NO. 25,124
(if appropriate)
Docket Number: 17366-0550

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C.

P19LARGE/REV05

| | | | |
|---|-------------------------|-----------------|--------------------------|
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Jiuhuai Lu, Yoshiichiro Kashiwagi, Masayuki Kozuka | | | Docket No. 17366.0550 |
| Serial No. TBA | Filing Date Herewith | Examiner TBA | Group Art Unit TBA |
| Invention: Video Decoder Architecture Employing Loop Filter for HD Video Coding Efficiency Improvement | | | |
| <p>I hereby certify that this <u>Provisional Patent Application</u> <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>February 21, 2003</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"> <u>Sandy Malec</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>  <i>(Signature of Person Mailing Correspondence)</i> <u>EV 196886975 US</u> <i>("Express Mail" Mailing Label Number)</i> </div> <p style="text-align: center; margin-top: 40px;"> Note: Each paper must have its own certificate of mailing. </p> | | | |

Video Decoder Architecture Employing Loop Filter for HD Video Coding Efficiency Improvement

February 18, 2003

Jiuhuai Lu, Yoshiichiro Kashiwagi

Summary of the Innovation

This disclosure provides a description of new concept in high definition video decoder that improves video coding efficiency in MPEG-4 AVC and other coding schemes, especially for high definition visual content. In recent effort to improve video picture decoding quality, video post filters and loop filter have been adopted to mitigate compression artifacts and reduce propagation of compression errors from motion compensation. Although these techniques are successful, they all suffer from the same problem of reducing texture details.

HD videos originated from films and high resolution professional video cameras have been able to capture great amount of texture details. However, increase in spatial resolution of pictures is not coupled with increase in temporal resolutions. As a result, redundancy reduction attempted by motion compensation does not perform as effective as in pictures of lower resolutions because irregular local motion. The less degree of texture correlation between reference and motion compensated pictures reduces coding efficiency. This innovation provides a solution to this problem without significant change of architecture. The solution is concerning the use of loop filter to create relative smooth pictures for motion references, and therefore to minimize the energy of uncorrelated textures. This method is most effective for high quality coding of high resolution video and motion pictures.

The existing loop filter schemes, such as the deblocking filters provided in MPEG-4 compression AVC/H.264 standard have been used for reducing artifacts and error propagation. This new role of loop filters creates smooth version of pictures only for reference to be used for motion compensation. For that purpose, we introduce an architectural modification which enables a loop filter with a new function that offers coding efficiency improvement in addition to compression artifact reduction and error propagation.

Example: MPEG-4 AVC

In the current specification of the MPEG-4 AVC decoder architecture, the loop filter is depicted in a block diagram in Figure 1. The output of the loop filter goes to the display device as well as the frame memory to be used for references.

To allow it to function as a reference picture smoother only separating from the decoded video to be displayed, the loop filter is re-position as illustrated in Figure 2. When the decoder is signaled to use the loop filter only as a reference picture smoothing filter, the filter only applies to pictures that need to be stored for reference. In that case, the filtered version of decoded pictures is not output to display devices. The signal is essentially a flag sent by the encoder and carried by the video bitstream. In this specific case, a flag "deblocking_filter_for_motion_pred" is added into the bitstream syntax and can be assigned by the encoded. More specifically, it shall be placed in the data structure, Picture Parameter Set (pic_parameter_set_rbsp), in the MPEG-4 AVC bitstream.

Depending on video content and coding bit-rate, the encoder can flag a decoder to use the loop filter only for motion reference purpose. Encoder can use it in the following conditions but not limited to:

1. large amount of texture with irregular motion details
2. large amount of film grains
3. medial to high bit rate
4. no blocking artifact

Conclusions

This disclosure defines switchable loop filter position which can be controlled by encoder to signal to decoder whether the loop filter shall be used as post processing and deblocking filter or as a reference picture processing filter for improving motion compensation efficiency.

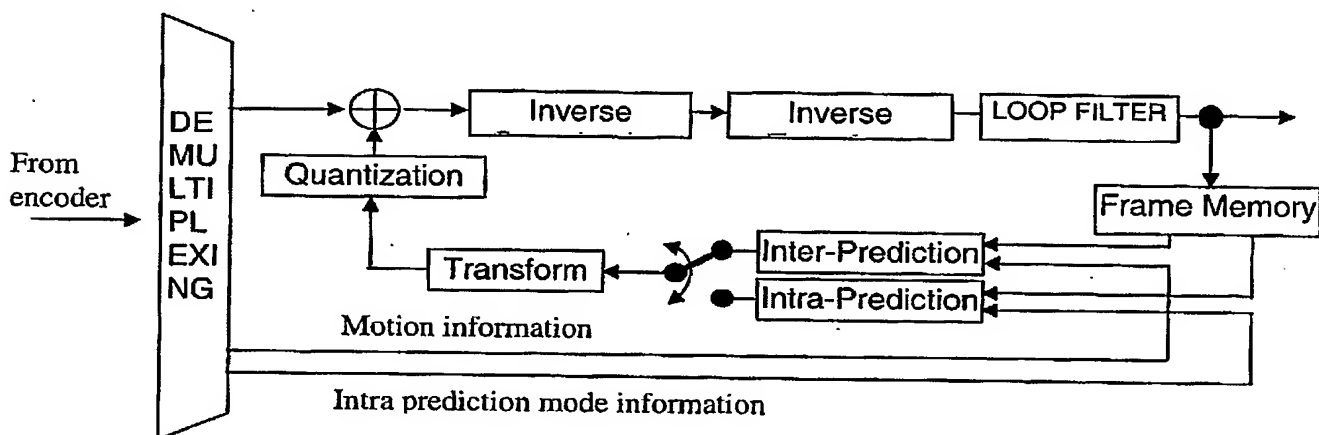


Figure 1. Current architecture a MPEG-4 AVC decoder.

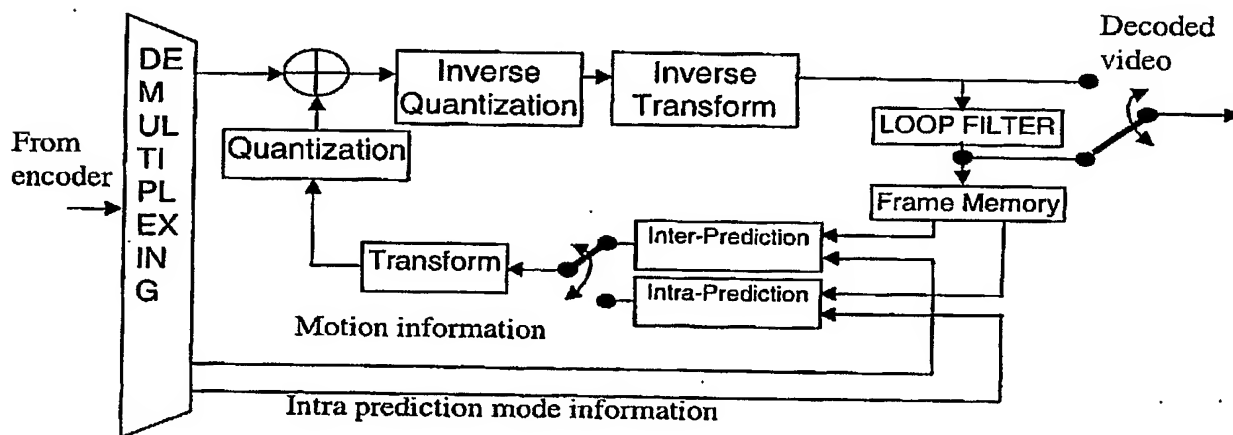


Figure 2. New architecture of a MPEG-4 AVC decoder for high resolution and high quality visual content.